



CERTIFICATION REGARDING CORRESPONDENT ACCOUNTS FOR FOREIGN BANKS

[OMB CONTROL NUMBER 1506-0043]

The information contained in this Certification is sought pursuant to Sections 5318(j) and 5318(k) of Title 31 of the United State Code, as added by sections 313 and 319(b) of the USA PATRIOT Act of 2001 (Public Law 107-56).

This Certification should be completed by any **foreign bank** that maintains a **correspondent account** with any U.S. bank or broker-dealer in securities (a **covered financial institution** as defined in 31 CFR 1010.605(e)). An entity that is not a foreign bank is not required to complete this Certification.

A **foreign bank** is a bank organized under foreign law and located outside of the United States (see definition at 31 CFR 1010.100(u)). A **bank** includes offices, branches, and agencies of commercial banks or trust companies, private banks, national banks, thrift institutions, credit unions, and other organizations chartered under banking laws and supervised by banking supervisors of any state (see definition at 31 CFR 1010.100(d)).¹

A **correspondent account** for a foreign bank is any account to receive deposits from, make payments or other disbursements on behalf of a foreign bank, or handle other financial transactions related to the foreign bank.

Special instructions for foreign branches of U.S. banks: A branch or office of a U.S. bank outside the United State is a foreign bank. Such a branch or office is not required to complete this Certification with respect to Correspondent Accounts with U.S. branches and offices of the same U.S. bank.

Special instructions for covering multiple branches on a single Certification: A foreign bank may complete one Certification for its branches and offices outside the United States. The Certification must list all of the branches and offices that are covered and must include the information required in Part C for **each** branch or office that maintains a Correspondent Account with a Covered Financial Institution. Use attachment sheets as necessary.

A. The undersigned financial institution, **BPCE SA** ("Foreign Bank") hereby certifies as follows:

¹ A "foreign bank" does not include any foreign central bank or monetary authority that functions as a central bank, or any international financial institution or regional development bank formed by treaty or international agreement.

B. Correspondent Accounts Covered by this Certification: Check **one** box.

- This Certification applies to **all** accounts established for Foreign Bank by Covered Financial Institutions.
- This Certification applies to Correspondent Accounts established by _____ (name of Covered Financial Institution(s)) for Foreign Bank.

C. Physical Presence/Regulated Affiliate Status: Check **one** box and complete the blanks.

- Foreign Banks maintains a **physical presence** in any country. That means:
- Foreign Bank has a place of business at the following street address: **7 Promenade Germaine Sablon 75013 Paris FRANCE**, where Foreign Bank employs one or more individuals on a full-time basis and maintains operating records related to its banking activities.
 - The above address is in **FRANCE**, where Foreign Bank is authorized to conduct banking activities.
 - Foreign Bank is subject to inspection by **the AUTORITE DE CONTROLE PRUDENTIEL ET DE RESOLUTION**, the banking authority that licensed Foreign Bank to conduct banking activities.
- Foreign Bank does not have a physical presence in any country, but Foreign Bank is a **regulated affiliate**. That means:
- Foreign Bank is an affiliate of a depository institution, credit union, or a foreign bank that maintains a physical presence at the following street address: _____, where it employs one or more persons on a full-time basis and maintains operating records related to its banking activities.
 - The above address is in _____ (insert country), where the depository institution, credit union, or foreign bank is authorized to conduct banking activities.
 - Foreign Bank is subject to supervision by _____, (insert Banking Authority), the same banking authority that regulates the depository institution, credit union, or foreign bank.
- Foreign Bank does **not** have a physical presence in a country and is **not** a regulated affiliate.

D. Indirect Use of Correspondent Accounts: Check box to certify.

- No Correspondent Account maintained by a Covered Financial Institution may be used to indirectly provide banking services to certain foreign banks. Foreign Bank hereby certifies that it does **not** use any Correspondent Account with a Covered Financial Institution to indirectly provide banking services to any foreign bank that does not maintain a physical presence in any country and that is not a regulated affiliate.

E. Ownership Information: Check box 1 or 2 below, **if applicable.**

- 1. Form FR Y-7 is on file.** Foreign Bank has filed with the Federal Reserve Board a current Form FR Y-7 and has disclosed its ownership information on Item 4 of Form FR Y-7.
- 2. Foreign Bank's shares are publicly traded.** Publicly traded means that the shares are traded on an exchange or an organized over-the-counter market that is regulated by a foreign securities authority as defined in section 3(a)(50) of the Securities Exchange Act of 1934 (15 U.S.C. 78c(a)(50)).

If **neither** box 1 or 2 of Part E is checked, complete Item 3 below, **if applicable.**

- 3.** Foreign Bank has no **owner(s)** except as set forth below. For purposes of this Certification, **owner** means any person who, directly or indirectly, (a) owns, controls, or has power to vote 25 percent or more of any class of voting securities or other voting interests of Foreign Bank; or (b) controls in any manner the election of a majority of the directors (or individuals exercising similar functions) of Foreign Bank. For purposes of this Certification, (i) **person** means any individual, bank, corporation, partnership, limited liability company or any other legal entity; (ii) **voting securities or other voting interests** means securities or other interests that entitle the holder to vote for or select directors (or individuals exercising similar functions); and (iii) members of the same family ² shall be considered one **person**.

*Remark : no owner directly or indirectly :

- owns, controls or has power to vote 25 percent or more of any of class of voting interests of Foreign Bank.

-in any manner controls the election of a majority of the Directors (or individuals exercising similar functions) of Foreign Bank.

² The same family means parents, spouses, children, siblings, uncles, aunts, grandparents, grandchildren, first cousins, stepchildren, stepsiblings, parents-in-law and spouses of any of the foregoing. In determining the ownership interests of the same family, any voting interest of any family member shall be taken into account.



F. Process Agent:

The following individual or entity: **NATIXIS, NEW YORK BRANCH, Compliance Department**, is a resident of the United States at the following street address: **1251 Avenue of the Americas, New York, NY 10020, Tel: (212) 872-5012**, and is authorized to accept service of legal process on behalf of Foreign Bank from the Secretary of the Treasury or the Attorney General of the United States pursuant to Section 5318(k) of title 31, United States Code.

G. General

Foreign Bank hereby agrees to notify in writing each Covered Financial Institution at which it maintains any Correspondent Account of any change in facts or circumstances reported in this Certification. Notification shall be given within 30 calendar days of such change.

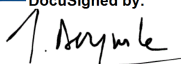
Foreign Bank understands that each Covered Financial Institution at which it maintains a Correspondent Account may provide a copy of this Certification to the Secretary of the Treasury and the Attorney General of the United States. Foreign Bank further understands that the statements contained in this Certification may be transmitted to one or more departments or agencies of the United States of America for the purpose of fulfilling such departments' and agencies' governmental functions.

I, Jacques BEYSSADE, certify that I have read and understand this Certification, that the statements made in this Certification are complete and correct, and that I am authorized to execute this Certification on behalf of Foreign Bank.

BPCE SA

Jacques BEYSSADE

Secretary General

DocuSigned by:

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Executed on this 2nd day of May, 2024



BPCE SA takes into account international standards and rules as well as national obligations laid out in the French Code Monétaire et Financier (Book V, Title VI).

As a credit and financial institution, BPCE SA is subject to the monitoring and control of the "Autorité de Contrôle Prudentiel et de Résolution" and the "Autorité des Marchés Financiers", French regulators of the banking and financial sector.

This Certification is issued on behalf of BPCE SA and applies to all the Group entities listed on Appendix below, within its control perimeter (Appendix A), including the regional banks based in France listed in Appendix B, BPCE SA Titres as mentioned in Appendix C and BPCE International his Subsidiary in Appendix D.

Appendix A:

NAME	ADDRESS	Regulator (Name and Internet link)
BPCE SFH	7 Promenade Germaine Sablon 75013 Paris France	Autorité de contrôle prudentiel et de résolution https://acpr.banque-france.fr/

Appendix B :

NAME	ADDRESS	Regulator (Name and Internet link)
BANQUE PALATINE	10, avenue du Val-de-Fontenay 94 120 Fontenay-sous-Bois	Autorité de contrôle prudentiel et de résolution https://acpr.banque-france.fr/
BP ALSACE LORRAINE CHAMPAGNE	3 rue François de Curel BP 40124 57021 Metz Cedex 1	Autorité de contrôle prudentiel et de résolution https://acpr.banque-france.fr/
BP AQUITAINE CENTRE ATLANTIQUE	10 quai des Queyries 33072 Bordeaux Cedex	Autorité de contrôle prudentiel et de résolution https://acpr.banque-france.fr/
BP AUVERGNE RHONE ALPES	4 boulevard Eugène Deruelle 69003 Lyon	Autorité de contrôle prudentiel et de résolution https://acpr.banque-france.fr/
BP BOURGOGNE FRANCHE-COMTE	14 Boulevard de la Trémouille BP 20810 21008 Dijon Cedex	Autorité de contrôle prudentiel et de résolution https://acpr.banque-france.fr/
BP NORD	847 avenue de la République 59700 Marcq-en-Baroeul	Autorité de contrôle prudentiel et de résolution https://acpr.banque-france.fr/
BP SUD	38 Boulevard Georges Clémenceau 66966 Perpignan Cedex 09	Autorité de contrôle prudentiel et de résolution https://acpr.banque-france.fr/
BP GRAND OUEST	15 boulevard de la Boutière CS 26858 35768 Saint-Grégoire	Autorité de contrôle prudentiel et de résolution https://acpr.banque-france.fr/
BP MEDITERRANEE	457 Promenade des Anglais BP 241 06292 Nice Cedex 3	Autorité de contrôle prudentiel et de résolution https://acpr.banque-france.fr/
BP OCCITANE	33-43 avenue Georges Pompidou 31135 Balma cedex	Autorité de contrôle prudentiel et de résolution https://acpr.banque-france.fr/
BP RIVES DE PARIS	80, boulevard Auguste Blanqui 75204 Paris Cedex 13	Autorité de contrôle prudentiel et de résolution https://acpr.banque-france.fr/
BP VAL DE FRANCE	9 avenue Newton 78183 Saint-Quentin-en-Yvelines Cedex	Autorité de contrôle prudentiel et de résolution https://acpr.banque-france.fr/
CE AQUITAINE POITOU-CHARENTES	1 Parvis Corto Maltese 33076 Bordeaux Cedex	Autorité de contrôle prudentiel et de résolution https://acpr.banque-france.fr/
CE BRETAGNE PAYS DE LOIRE	15 avenue de la Jeunesse BP 127 44703 Orvault	Autorité de contrôle prudentiel et de résolution https://acpr.banque-france.fr/

NAME	ADDRESS	Regulator (Name and Internet link)
CE CEPAC	1 Place Estrangin Pastré BP 108 13254 Marseille Cedex 6	Autorité de contrôle prudentiel et de résolution https://acpr.banque-france.fr/
CE COTE D'AZUR	455 Promenade des Anglais CS 3297 06205 Nice Cedex 03	Autorité de contrôle prudentiel et de résolution https://acpr.banque-france.fr/
CE AUVERGNE LIMOUSIN	63 rue Montlosier 63961 Clermont-Ferrand Cedex 9	Autorité de contrôle prudentiel et de résolution https://acpr.banque-france.fr/
CE BOURGOGNE FRANCHE-COMTE	1 Rond-point de la Nation BP 23088 21088 Dijon Cedex 9	Autorité de contrôle prudentiel et de résolution https://acpr.banque-france.fr/
CE MIDI-PYRENEES	10 avenue James-Clerk Maxwell BP 22 306 31023 Toulouse Cedex 1	Autorité de contrôle prudentiel et de résolution https://acpr.banque-france.fr/
CE GRAND EST EUROPE	1 avenue du Rhin 67925 Strasbourg Cedex 9	Autorité de contrôle prudentiel et de résolution https://acpr.banque-france.fr/
CE HAUTS DE France	612 rue de la Chaude Rivière 59350 Lille	Autorité de contrôle prudentiel et de résolution https://acpr.banque-france.fr/
CE ILE DE FRANCE	26/28 rue Neuve Tolbiac 75013 Paris	Autorité de contrôle prudentiel et de résolution https://acpr.banque-france.fr/
CE LANGUEDOC-ROUSSILLON	254 rue Michel Teule BP 7330 34184 Montpellier Cedex 4	Autorité de contrôle prudentiel et de résolution https://acpr.banque-france.fr/
CE LOIRE DROME ARDECHE	Espace Fauriel 17 rue P. et D. Ponchardier BP 147 42012 Saint-Etienne Cedex 2	Autorité de contrôle prudentiel et de résolution https://acpr.banque-france.fr/
CE LOIRE-CENTRE	12 rue de Maison Rouge CS 10620 45146 Saint-Jean-de-la-Ruelle	Autorité de contrôle prudentiel et de résolution https://acpr.banque-france.fr/
CE NORMANDIE	151 rue d'Uelzen 76230 Bois-Guillaume	Autorité de contrôle prudentiel et de résolution https://acpr.banque-france.fr/
CE RHONE ALPES	Tour Incity 116 Cours Lafayette BP 3276 69404 Lyon Cedex 03	Autorité de contrôle prudentiel et de résolution https://acpr.banque-france.fr/
CASDEN BP	1 bis, rue Jean Wiener 77420 Champs-sur-Marne	Autorité de contrôle prudentiel et de résolution https://acpr.banque-france.fr/
CREDIT COOPERATIF	12 bd Pesaro 92000 Nanterre	Autorité de contrôle prudentiel et de résolution https://acpr.banque-france.fr/

Appendix C :

NAME	ADDRESS	Regulator (Name and Internet link)
EUROTITRES	59, avenue Pierre Mendès France 75013 Paris France	Autorité de contrôle prudentiel et de résolution https://acpr.banque-france.fr/

Appendix D :

NAME	ADDRESS	Regulator (Name and Internet link)
BPCE INTERNATIONAL	88 avenue de France 75013 Paris France	Autorité de contrôle prudentiel et de résolution https://acpr.banque-france.fr/
VIETNAM - HCMC	16th Floor, Pearl 5 Building, No. 05 Le Quy Don Street, Vo Thi Sau Ward, District 03 Ho Chi Minh City, VIETNAM	State Bank of Vietnam https://www.sbv.gov.vn/